

Mondeum Capital - Held NMS Stocks and Options Order Routing Public Report

1st Quarter, 2023

January 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	100.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDMID)	100.00	0.00	100.00	0.00	0.00	0.00	13.6364	0.00	13.6364	0.00	13.6364	0.0000	13.6364

Material Aspects:

CODA MARKETS, INC. (MONDMID):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

January 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
33.61	36.59	2.44	60.98	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDSOR)	34.15	12.20	2.44	19.51	0.00	0.01	25.0000	0.00	25.0000	0.00	25.0000	0.0000	25.0000

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDMID)	26.83	7.32	0.00	19.51	0.00	0.00	13.6364	0.00	13.6364	0.03	13.6364	0.0000	13.6364
CODA MARKETS, INC. (MNDCPFOF)	21.95	9.76	0.00	12.20	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
CODA MARKETS, INC. (MONDDARK)	17.07	7.32	0.00	9.76	0.00	0.00	12.5000	0.00	12.5000	0.01	12.5000	0.0000	12.5000

Material Aspects:

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDMID):

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CODA MARKETS, INC. (MNDCPFOF):

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CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

January 2023

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

February 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	15.00	58.89	26.11	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDDARK)	41.11	4.44	32.22	4.44	0.00	1.77	14.9994	2.87	14.9994	0.32	14.9994	0.0000	14.9994
CODA MARKETS, INC. (MONDSOR)	25.56	3.33	11.67	10.56	0.00	0.71	21.6403	3.11	21.6403	0.00	21.6403	0.0000	21.6403
CODA MARKETS, INC. (MONDMID)	16.67	3.89	7.22	5.56	0.00	0.22	14.9968	0.82	14.9968	0.31	14.9968	0.0000	14.9968
SPEEDROUTE, LLC. (ARCA)	16.67	3.33	7.78	5.56	0.00	-0.38	-11.9662	-0.59	-11.9662	-0.01	-11.9662	0.0000	-11.9662

Material Aspects:

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDMID):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (ARCA):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

February 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	15.50	53.12	31.38	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDDARK)	52.55	6.81	36.29	9.45	0.00	4.63	14.9994	22.52	14.9994	3.12	14.9994	0.0000	14.9994
CODA MARKETS, INC. (MONDSOR)	17.20	2.27	9.45	5.48	0.00	2.35	21.6403	26.39	21.6403	0.00	21.6403	0.0000	21.6403

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDMID)	16.64	2.84	2.27	11.53	0.00	1.68	14.9968	2.03	14.9968	6.84	14.9968	0.0000	14.9968
SPEEDROUTE, LLC. (ARCA)	13.42	3.59	4.73	5.10	0.00	-2.27	-11.9662	-3.85	-11.9662	-0.41	-11.9662	0.0000	-11.9662
CODA MARKETS, INC. (MNDCPFOF)	0.19	0.00	0.00	0.19	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000

Material Aspects:

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDMID):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (ARCA):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MNDCPFOF):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

February 2023

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

March 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	16.47	56.94	26.59	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (ARCA)	51.01	14.16	35.40	1.45	0.00	-6.84	-9.9340	-10.24	-9.9340	-0.13	-9.9340	0.0000	-9.9340
CODA MARKETS, INC. (MONDDARK)	45.23	2.02	21.24	21.97	0.00	0.79	15.0006	8.51	15.0006	2.89	15.0006	0.0000	15.0006
CODA MARKETS, INC. (MNDCPF0F)	3.47	0.14	0.14	3.18	0.00	-0.03	-12.9921	-0.19	-12.9921	0.00	-12.9921	0.0000	-12.9921
CODA MARKETS, INC. (MONDSOR)	0.29	0.14	0.14	0.00	0.00	0.00	22.0003	0.01	22.0003	0.00	22.0003	0.0000	22.0003

Material Aspects:

SPEEDROUTE, LLC. (ARCA):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

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March 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	17.96	43.85	38.19	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDDARK)	48.22	1.87	16.97	29.39	0.00	2.76	15.0006	26.30	15.0006	17.19	15.0006	0.0000	15.0006

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (ARCA)	44.61	15.57	25.66	3.38	0.00	-14.05	-9.9340	-18.42	-9.9340	-0.63	-9.9340	0.0000	-9.9340
CODA MARKETS, INC. (MNDCPFOF)	6.24	0.41	0.47	5.36	0.00	-0.35	-12.9921	-1.07	-12.9921	0.00	-12.9921	0.0000	-12.9921
CODA MARKETS, INC. (MONDSOR)	0.93	0.12	0.76	0.06	0.00	0.21	22.0003	13.22	22.0003	1.10	22.0003	0.0000	22.0003

Material Aspects:

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March 2023

Options

Summary

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0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects: