# Mondeum Capital - Held NMS Stocks and Options Order Routing Public Report

3rd Quarter, 2022

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### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue -	Non-	Market	Marketable	Non-	Other	Net Payment	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Paid/
Non-	Directed	Orders	Limit Orders	Marketable	Orders	Paid/Received for	Received for Market	Received for	Received for	Received for Non-	Received for Non-	Paid/Received for	Received for Other
directed	Orders (%)	(%)	(%)	Limit Orders	(%)	Market	Orders(cents per	Marketable Limit	Marketable Limit	Marketable Limit	Marketable Limit	Other	Orders(cents per
Order	, ,	` '	, ,	(%)	' '	Orders(USD)	hundred shares)	Orders(USD)	Orders(cents per	Orders(USD)	Orders(cents per	Orders(USD)	hundred shares)
Flow						` '	, and the second	, ,	hundred shares)	, ,	hundred shares)	` '	· ·

Material Aspects:

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### **July 2022**

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Non- Directed Order Orders (%) (%)		Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

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### **July 2022**

# Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow		arket Marketable ders Limit Orders %) (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

## August 2022

### S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

### Venues

Venue - Non- directed Order Flow	Directed Ord	larket rders (%)  Marketable Limit Orders (%)		Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects:

## August 2022

### Non-S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	as % of All Orders Non-Directed Orders		Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
0.00	0.00	0.00	0.00	0.00	

### Venues

Venue - Non- directed	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders	Other Orders (%)	Net Payment Paid/Received for Market	Net Payment Paid/ Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit	Net Payment Paid/ Received for Marketable Limit	Net Payment Paid/ Received for Non- Marketable Limit	Net Payment Paid/ Received for Non- Marketable Limit	Net Payment Paid/Received for Other	Net Payment Paid/ Received for Other Orders(cents per
Order Flow				(%)		Orders(USD)	hundred shares)	Orders(USD)	Orders(cents per hundred shares)	Orders(USD)	Orders(cents per hundred shares)	Orders(USD)	hundred shares)

Material Aspects:		

### August 2022

# Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
0.00	0.00	0.00	0.00	0.00	

### Venues

Ven No direc Orc Flo	n- Di ted Ord	Non- Directed orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)	
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Material Aspects:

### September 2022

### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders		
100.00	100.00	0.00	0.00	0.00		

#### Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDDARK)	100.00	100.00	0.00	0.00	0.00	0.02	90.0000	0.00	90.0000	0.00	90.0000	0.0000	90.0000

### Material Aspects:

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

#### Non-S&P 500 Stocks

#### Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders		
I	100.00	34.48	24.14	41.38	0.00		

#### Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (ARCX)	40.86	11.38	10.34	19.14	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
CODA MARKETS, INC. (MNDCPFOF)	14.66	5.17	3.45	6.03	0.00	-0.02	-78.0000	-7.82	-78.0000	0.00	-78.0000	0.0000	-78.0000
CODA MARKETS, INC. (MONDSOR)	11.21	6.90	2.59	1.72	0.00	0.12	198.0000	0.05	198.0000	0.00	198.0000	0.0000	198.0000
SPEEDROUTE, LLC. (COHR)	7.78	2.17	1.97	3.65	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (XNAS)	7.78	2.17	1.97	3.65	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
CODA MARKETS, INC. (MONDDARK)	6.03	3.45	0.86	1.72	0.00	0.03	90.0000	0.01	90.0000	0.00	90.0000	0.0000	90.0000
SPEEDROUTE, LLC. (MEMX)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (TTUC)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (XNYS)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000

### Material Aspects:

SPEEDROUTE, LLC. (ARCX):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MNDCPFOF):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (COHR):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE, LLC. (XNAS):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (MEMX):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE, LLC. (TTUC)

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE.	LLC.	(XNYS	١:

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

## September 2022

# Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders		
0.00	0.00	0.00	0.00	0.00		

#### Venues

Venue - Non- directed Order Flow	Directed Orders (%)	Marketable Limit Orders (%)		Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)	
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Material Aspects: