

Mondeum Capital - Held NMS Stocks and Options Order Routing Public Report

3rd Quarter, 2022

July 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

July 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

July 2022

Material Aspects:

August 2022

Options
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

September 2022

S&P 500 Stocks
Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	100.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MONDDARK)	100.00	100.00	0.00	0.00	0.00	0.02	90.0000	0.00	90.0000	0.00	90.0000	0.0000	90.0000

Material Aspects:

CODA MARKETS, INC. (MONDDARK):
Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

September 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	34.48	24.14	41.38	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (ARCX)	40.86	11.38	10.34	19.14	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
CODA MARKETS, INC. (MNDCPFOF)	14.66	5.17	3.45	6.03	0.00	-0.02	-78.0000	-7.82	-78.0000	0.00	-78.0000	0.0000	-78.0000
CODA MARKETS, INC. (MONDSOR)	11.21	6.90	2.59	1.72	0.00	0.12	198.0000	0.05	198.0000	0.00	198.0000	0.0000	198.0000
SPEEDROUTE, LLC. (COHR)	7.78	2.17	1.97	3.65	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (XNAS)	7.78	2.17	1.97	3.65	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
CODA MARKETS, INC. (MONDDARK)	6.03	3.45	0.86	1.72	0.00	0.03	90.0000	0.01	90.0000	0.00	90.0000	0.0000	90.0000
SPEEDROUTE, LLC. (MEMX)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (TTUC)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000
SPEEDROUTE, LLC. (XNYS)	3.89	1.08	0.99	1.82	0.00	0.00	4.0000	0.01	4.0000	0.00	4.0000	0.0000	4.0000

Material Aspects:

SPEEDROUTE, LLC. (ARCX):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MNDCPFOF):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (COHR):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE, LLC. (XNAS):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives rebates between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (MEMX):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE, LLC. (TTUC):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

SPEEDROUTE, LLC. (XNYS):
 Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share.

September 2022

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects: