

Mondeum Capital - Held NMS Stocks and Options Order Routing Public Report

4th Quarter, 2022

October 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
22.22	50.00	0.00	50.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (BATS)	50.00	50.00	0.00	0.00	0.00	0.00	-33.3333	0.00	-33.3333	0.00	-33.3333	0.0000	-33.3333
SPEEDROUTE, LLC. (NYSE)	50.00	0.00	0.00	50.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000

Material Aspects:

SPEEDROUTE, LLC. (BATS):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

SPEEDROUTE, LLC. (NYSE):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

October 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
73.01	58.82	15.13	26.05	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (MNDCPFOF)	15.13	10.92	0.00	4.20	0.00	-0.01	-16.6667	0.00	-16.6667	0.00	-16.6667	0.0000	-16.6667
SPEEDROUTE, LLC. (MONDSOR)	13.45	5.04	2.52	5.88	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (ARCA)	12.61	10.08	2.52	0.00	0.00	0.01	10.0000	0.00	10.0000	0.00	10.0000	0.0000	10.0000
CODA MARKETS, INC. (MONDDARK)	11.76	7.56	0.00	4.20	0.00	0.00	5.0000	0.00	5.0000	0.00	5.0000	0.0000	5.0000
CODA MARKETS, INC. (MONDMID)	10.92	5.88	0.84	4.20	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (MEMX)	8.40	5.88	0.84	1.68	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (NYSE)	7.56	2.52	1.68	3.36	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
CODA MARKETS, INC. (MONDSOR)	6.72	3.36	2.52	0.84	0.00	0.00	20.0000	0.01	20.0000	0.00	20.0000	0.0000	20.0000
SPEEDROUTE, LLC. (BATS)	5.04	3.36	0.84	0.84	0.00	-0.01	-33.3333	0.00	-33.3333	0.00	-33.3333	0.0000	-33.3333
SPEEDROUTE, LLC. (NSDQ)	3.36	1.68	1.68	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (MONDMID)	3.36	1.68	0.00	1.68	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (MONDDARK)	1.68	0.84	0.84	0.00	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000

Material Aspects:

CODA MARKETS, INC. (MNDCPFOF):

Mondeum Capital has payment for order flow arrangements with CODA and receives between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

SPEEDROUTE, LLC. (ARCA):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

CODA MARKETS, INC. (MONDDARK):

Mondeum Capital has payment for order flow arrangements with CODA and receives between \$0.0013 and \$0.0030 per share.

CODA MARKETS, INC. (MONDMID):

Mondeum Capital has payment for order flow arrangements with CODA and receives between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (MEMX):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

SPEEDROUTE, LLC. (NYSE):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

CODA MARKETS, INC. (MONDSOR):

Mondeum Capital has payment for order flow arrangements with CODA and receives between \$0.0013 and \$0.0030 per share.

SPEEDROUTE, LLC. (BATS):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

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SPEEDROUTE, LLC. (MONDMID):

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October 2022

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

November 2022

S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

November 2022

Non-S&P 500 Stocks Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
75.00	0.00	0.00	100.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE, LLC. (ARCA)	33.33	0.00	0.00	33.33	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (MONDDARK)	33.33	0.00	0.00	33.33	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000
SPEEDROUTE, LLC. (MONDMID)	33.33	0.00	0.00	33.33	0.00	0.00	0.0000	0.00	0.0000	0.00	0.0000	0.0000	0.0000

Material Aspects:

SPEEDROUTE, LLC. (ARCA):

Mondeum Capital has payment for order flow arrangements with SPDR and receives rebates between \$0.0004 and \$0.00325 per share

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November 2022

Options

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0.00	0.00	0.00	0.00	0.00

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Material Aspects:

December 2022

S&P 500 Stocks

Summary

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0.00	0.00	0.00	0.00	0.00

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Material Aspects:

December 2022

Non-S&P 500 Stocks

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0.00	0.00	0.00	0.00	0.00

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December 2022

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Material Aspects: